SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * Seizinger Bernd R.					2. Issuer Name and Ticker or Trading Symbol <u>Aptose Biosciences Inc.</u> [APTO]									ionship of Reporting Person(s) to Issuer all applicable) Director 10% Owner				vner
(Last)	(Last) (First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 01/19/2023									Officer (g below)	ive title		Other (s below)	specify
C/O APTOSE BIOSCIENCES INC. 251 CONSUMERS ROAD, SUITE 1105					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv X	 Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 				
(Street) TORONTO (City)	A6 (State		M2J 4R3 Zip)											Form file	d by More	than C	Dne Reportin	g Person
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
Date				2. Transac Date (Month/Da		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Dispose Code (Instr.			ities Acquired (A) or d Of (D) (Instr. 3, 4 and			5. Amount Securities Beneficiall Following Transactio	y Owned or Reported (Ins		vnership I: Direct (D) direct (I) r. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
								Code	v	Amount	Amount (A) o (D)		Price	(Instr. 3 and 4)				(
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Yea	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exe Expiration (Month/Da		7. Title and Amour Securities Underly Derivative Security 3 and 4)		erlying	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti	e s ally g	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisabl		cpiration ate	Title		Amount or Number of Shares		(Instr. 4)			
Stock Option (right to buy)	\$0.66	01/19/2023		A		100,000		(1)	01	/19/2033	Com Sha		100,000	\$ <mark>0</mark>	100,00	00	D	

Explanation of Responses:

1. Fifty percent (50%) of the options vest on January 19, 2024, twenty-five percent (25%) vest on January 19, 2025, and twenty-five percent (25%) vest on January 19, 2026.

/s/ Fletcher Payne as attorney-in-01/23/2023 fact for Bernd R. Seizinger

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.