SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * PLATZER ERICH					2. Issuer Name and Ticker or Trading Symbol <u>Aptose Biosciences Inc.</u> [APTO]									tionship of R all applicabl Director		Person	(s) to Issuer 10% Ov	vner
(Last)	(First) (3. Date of Earliest Transaction (Month/Day/Year) 01/19/2023									Officer (give title below)			Other (s below)	specify	
C/O APTOSE BIOSCIENCES INC. 251 CONSUMERS ROAD, SUITE 1105					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv	, , ,				
(Street) TORONTO A6 M2J 4R3 (City) (State) (Zip)														Form file	d by More	than C	One Reportin	g Person
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
Date				Date	Transaction ate lonth/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Dis Code (Instr.		Securities Acquired (A) or sposed Of (D) (Instr. 3, 4 a			ind 5) Securities Beneficiall Following		Form	vnership :: Direct (D) direct (I) :. 4)	7. Nature of Indirect Beneficial Ownership
								Code	v	Amount	(A) (D)) or)	Price	Transaction (Instr. 3 and				(Instr. 4)
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount Securities Underlyin Derivative Security 3 and 4)		rlying	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported	e s Illy g	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisabl		xpiration ate	Title	- Ir	Amount or Number of Shares		Transaction(s) (Instr. 4)			
Director Stock Option (Right to Buy)	\$0.66	01/19/2023		A		100,000		(1)	01	1/19/2033	Commo Shares		100,000	\$0	100,00	00	D	

Explanation of Responses:

1. The options were granted on January 18, 2022, and vest as follows: 50% vest on January 18, 2023; 25% vest on January 18, 2024; and 25% vest on January 18, 2025.

/s/ Fletcher Payne as attorney-in-01/23/2023 fact for Erich Platzer ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.