FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

|   | OMB Number:              | 3235-0287 |
|---|--------------------------|-----------|
|   | Estimated average burden |           |
| - | hours per response:      | 0.5       |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| affirmative defer<br>10b5-1(c). See | nse conditions of Rule nstruction 10. |          |  |  |
|-------------------------------------|---------------------------------------|----------|--|--|
| 1. Name and Addr                    | ess of Reporting Per                  | rson *   | 2. Issuer Name and Ticker or Trading Symbol Aptose Biosciences Inc. [ APTO ] | S. Relationship of Reporting Person(s) to Issuer     (Check all applicable)     X Director 10% Owner   |
| (Last)                              | (First)                               | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 02/05/2024                  | Officer (give title Other (specify below) below)   |
|                                     | BIOSCIENCES I<br>ERS ROAD, SUI        |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                     | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |
| (Street) TORONTO                    | A6                                    | M2J 4R3  |  | Form filed by wore than One Reporting Person   |
| (City)                              | (State)                               | (Zip)    |  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | Date<br>(Month/Day/Year) |  | Transaction Code (Instr. |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |               |       | Securities<br>Beneficially Owned<br>Following Reported | Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--------------------------|--|--------------------------|---|--|---------------|-------|--|---|---|
|                                 |                          |  | Code                     | v | Amount   | (A) or<br>(D) | Price | Transaction(s) (Instr. 3 and 4)                        |   | (instr. 4)  |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr.<br>3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transac<br>Code (In<br>8) |   |       |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr.<br>3 and 4) |                                     | Derivative | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|---------------------------------|---|-------|-----|--|--------------------|--|-------------------------------------|------------|--|--|--|
|   |   |  |   | Code                            | v | (A)   | (D) | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |            | Transaction(s)<br>(Instr. 4)   |  |  |
| Director Stock<br>Option (Right to<br>Buy)          | \$1.97 <sup>(1)</sup>   | 02/05/2024                                 |   | A                               |   | 3,333 |     | (2)  | 02/05/2034         | Common<br>Shares   | 3,333                               | \$0        | 3,333  | D  |  |

#### **Explanation of Responses:**

- 1. Converted from Canadian exercise price of C\$2.67 using an exchange rate of C\$1.3527 = US\$1.00.
- 2. The options vest as follows: 50% on February 5, 2025; 25% on February 5, 2026; and 25% on February 5, 2027.

<u>/s/ Mark D. Vincent</u> <u>02/07/2024</u>
\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.